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PTO/SB/08A (04-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

8

Complete If Known

Application Number	09/761,670
Filing Date	January 18, 2001
First Named Inventor	Jeff S. Eder
Art Unit	3624
Examiner Name	Jagdish Patel

Attorney Docket Number AR - 16

U. S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If Known)			
		US- 5,224,034 - B1	06-29-1993	Katz, Paul	
		US- 5,237,946 - B1	08-17-1993	Kagami, Akari	
		US- 5,128,861 - B1	07-07-1992	Kagami, Akari	
		US- 5,237,495 - B1	08-17-1993	Morii, Hideki	
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		US- 5,361,201 - B1	11-01-1994	Jost, Allen et al	
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		US- 5,317,504 - B1	05-31-1994	Nakayama, Mamoru	
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		US- 5,193,055 - B1	03-09-1993	Brown, Gordon	
		US- 5,809,282 - B1	09-15-1998	Cooper, Dennis	
		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
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		US- 6,134,536 - B1	10-17-2000	Shepherd, Ian	

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Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ² Number-Kind Code ³ (If Known)				
		EP 0 587 290 A2	03-16-1994	Powers et al		
		GB 2 253 081 A	02-19-1992	Kalsha, Kabushi		

Examiner Signature

Date Considered

4/14/06

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

2

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Application Number	09/761,670
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First Named Inventor	Jeff S. Eder
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Examiner Name	Jagdish Patel

Attorney Docket Number AR - 16

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		Number-Kind Code ² (if known)			
		US- 6,078,901 - B1	6-20-2000	Ching, Hugh	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
		US- 4,839,304 - B1	6-13-1989	Roberts et al	
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		Country Code ³ Number ⁴ Grid Code ⁵ (if known)				

Examiner Signature

K. Chen

Date Considered

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Application Number	09/761,670
Filing Date	January 18, 2001
First Named Inventor	Jeff S. Eder
Art Unit	3624
Examiner Name	Jagdish Patel

Attorney Docket Number AR - 18

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 6,332,163 - B1	12-18-2001	Bowman_Amuah, Michel	
		US- 5,802,501 - B1	09-01-1998	Graff, Richard A.	
		US- 4,839,804 - B1	06-13-1989	Roberts et al	
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		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
		US-			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number-Kind Code ⁴ (if known)				

Examiner
Signature

JL. Eder

Date
Considered

4/14/06

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PTO/SB/088 (04-03)

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/761,670
				Filing Date	January 18, 2001
				First Named Inventor	Jeff S. Eder
				Art Unit	3624
				Examiner Name	Jagdish Patel
Sheet	4	of	8	Attorney Docket Number	AR - 16

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
<i>M</i>	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA		T ²
	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring		
	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press		
	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press		
	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company		
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press		
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert		
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons		
	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.		
<i>M</i>	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin		

Examiner Signature	<i>J. S. Eder</i>	Date Considered	4/14/06
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This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/761,670
				Filing Date	January 18, 2001
				First Named Inventor	Jeff S. Eder
				Art Unit	3624
				Examiner Name	Jagdish Patel
Sheet	5	of	8	Attorney Docket Number	AR - 16

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
<i>J.M.</i>	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold		²
<i>J.M.</i>	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group		
	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill		
	14	FAULKNER, TERENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage		
	15	MILLER, MERTON & MODIGLIANI, FRANCO, Dividend policy, growth and the valuation of shares, 10/1/1961.		
	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions.		
	17	WILSON, ALBERT, Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64		
	18	BROWN, GORDON T, Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,		
	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release		
<i>J.M.</i>	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9		

Examiner Signature	<i>J.S. Eder</i>	Date Considered	<i>10/16/05</i>
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<i>JK</i>	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio		
	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal		
	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;		
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	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi		
<i>JK</i>	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11		

Examiner Signature	<i>Jagdish Patel</i>	Date Considered	10/14/06
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M	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
	34	Svelby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Cetemi	
	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
	36	HBS PRESS; Measuring Corporate Performance; 1998; HBS Press	
	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
M	40	ERNST & YOUNG, "Measures that Matter", 1997	

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		Application Number	09/781,670
		Filing Date	January 18, 2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3624
		Examiner Name	Jagdish Patel
		Attorney Docket Number	AR - 16
Sheet	8	of	8

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Examiner Signature		Date Considered	4/14/06
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		<p>Application Number</p>	<p>09/761,670</p>
		<p>Filing Date</p>	<p>1/18/2001</p>
		<p>First Named Inventor</p>	<p>Jeff S. Eder</p>
		<p>Art Unit</p>	<p>3628</p>
		<p>Examiner Name</p>	<p>Siegfried Chencinski</p>
<p>Sheet</p>	<p>/</p>	<p>of</p>	<p>/</p>
		<p>Attorney Docket Number</p>	
		<p>AR - 16</p>	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>MR</i>		Barua, Anitesh; Lee, C.H. Sophie; Whinston, Andrew, "The Calculus of Reengineering", August 1994	
<i>MM</i>		Haesendonckx, Michel, "VBM - Value Driver Tree", June 8, 2005	

Examiner Signature		Date Considered	4/14/06
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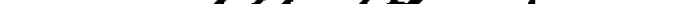
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	09/761,670
		Filing Date	1/18/2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3628
		Examiner Name	Siegfried Chencinski
		Attorney Docket Number	AR - 16
Sheet	1	of	1

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<i>JKL</i>		Chambers, Robert; Quiggins, John; "Resource Allocation and Asset Pricing", November 2002	

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